

# Efficient Multi-Match Packet Classification with TCAM

Fang Yu and Randy H. Katz

**Abstract**— Today's packet classification systems are designed to provide the highest priority matching result, e.g., the longest prefix match, even if a packet matches multiple classification rules. However, new network applications, such as intrusion detection systems, require information about all the matching results. We call this the *multi-match classification problem*. In several complex network applications, multi-match classification is immediately followed by other processing dependent on the classification results. Therefore, classification should be even faster than the line rate. Pure software solutions cannot be used due to their slow speeds.

In this paper, we present a solution based on Ternary Content Addressable Memory (TCAM), which produces multi-match classification results with only one TCAM lookup and one SRAM lookup per packet— about ten times fewer memory lookups than a pure software approach. In addition, we present a scheme to remove the negation format in rule sets, which can save up to 95% of TCAM space compared with the straight forward solution. We show that using the pre-processing scheme we present, header processing for the SNORT rule set can be done with one TCAM and one SRAM lookup using a 135KB TCAM.

**Index Terms**— Packet Classification, Multi-Match Packet Classification, Ternary CAM, Negation Removing.

## I. INTRODUCTION

New network applications are emerging that demand multi-match classification, that is, requiring all matching results instead of only the highest priority match. One example of such an application is the network intrusion detection system, which monitors packets in a network and detects malicious intrusions or DOS attacks. Systems like SNORT [1], employ thousands of rules. Figure 1.a gives an example SNORT rule that detects a MS-SQL worm probe. Figure 1.b is a rule for detecting an RPC old password overflow attempt.

Each rule has two components: a *rule header* and a *rule option*. The rule header is a classification rule that consists of five fixed fields: protocol, source IP, source port, destination IP, and destination port. The rule option is more complicated: it specifies intrusion patterns to be used to scan packet

This work was supported in part by the UC Micro grant number 03-041 and 02-032 with matching support from NTT MCL, HP, Cisco, and Microsoft.

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contents. Rule headers may have overlaps, so a packet may match multiple rule headers (e.g., both examples above). Multi-match classification is used to find all the rule headers that match a given packet so that we can check the corresponding rule options one by one later.

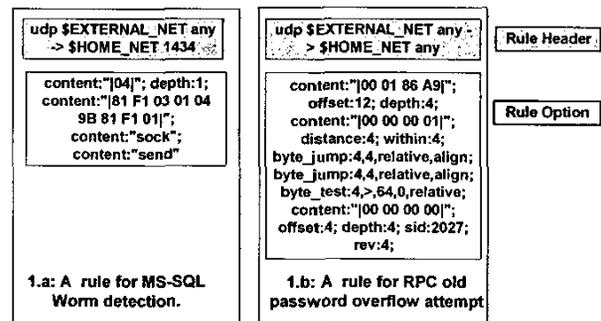


Fig. 1. Snort rule examples.

Another application is programmable network elements (PNEs) [2, 3] proposed for implementing edge network functions. Typically, a packet traverses a number of network devices that perform different functions, e.g., firewall, HTTP load balancing, intrusion detection, NAT, etc. This can be highly inefficient because a packet has to traverse every device even if only a subset of them needs to operate on the packet contents. In addition, because each network device is separately built, common functions like classification are repeatedly applied. This wastes resources and induces extra delay. To address this problem, PNEs are evolving to support multiple functions in one device. Multi-match classification is one important building block in PNEs: when a packet first enters a PNE, it is classified to identify the relevant functions. Then, only those selected functions will be applied, which saves resources and increases processing speed.

As we can see from the above two applications, multi-match classification is usually the first step in performing complex network system functions followed by processing that is dependent on the classification results. Applications that require only single-match classifications, however, tend to have further processing that is also simple (e.g., go to a specific port, or drop a packet, etc.). Therefore, to maintain the same line rate, multi-match classification must be much faster than single-match to leave enough time for subsequent processing without increasing latency too much.

The single-match problem on multiple fields is complex [4]. For  $n$  arbitrary non-overlapping regions in  $F$  dimensions, it is possible to achieve an optimized query time of  $O(\log(n))$ , with a complexity of  $O(n^F)$  storage in the theoretical worst case [5]. However, real-world rule sets are typically simpler than the theoretical worst case, and heuristic approaches [6, 7, 8, 9] provide faster solutions, e.g., 20-30 memory accesses per packet in the “worst case”.

The multi-match classification problem is more complex to implement than single-match classification since it needs all the matching results. Thus, some of the heuristic optimizations used for the single-match classification do not apply for the multiple-match case. Pure software solutions for multi-match classification are expected to take longer than that for single-match classification. Furthermore, multi-match classification, because of the complex follow-up processing, is likely to have much tighter time requirements. Hence pure software solutions, which require tens of memory access, are insufficient. Instead, we need a solution that requires few memory lookups, with deterministic lookup time for any input to keep up with the high data rate.

In this paper, we present a scheme that provides a solution for the multi-match problem with two memory lookups: one using a Ternary Content Addressable Memory (TCAM), a type of memory that can do parallel search at high speed, and the other using a standard Static Random Access Memory (SRAM). Our solution can save 95% of TCAM space compared with the straight forward solution. Using our scheme, header processing for the SNORT rule set can be done with one TCAM and one SRAM lookup using a 135KB TCAM.

The remainder of the paper is organized as follows: we will begin by exploring some design choices and technical challenges in Section 2. Section 3 and 4 present our solution to the multi-match classification problem with TCAM. Finally we present simulation results in Section 5 and conclude in Section 7.

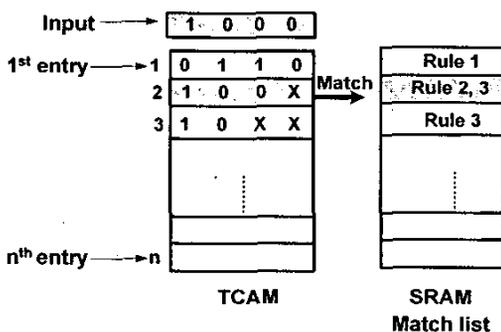


Fig. 2. TCAM

## II. MOTIVATION AND TECHNICAL CHALLENGES

A TCAM consists of many entries, the top entry of the TCAM has the smallest index and the bottom entry has the largest. Each entry has several cells that can be used to store a

string. A TCAM works as follows: given an input string, it compares the string against all entries in its memory in parallel, and reports the “first” entry that matches the input. The lookup time (5 ns or less) is deterministic for any input. Unlike a binary CAM, each cell in a TCAM can take one of three states: 0, 1, or ‘do not care’ (X). With ‘do not care’ states, a TCAM can support matching on variable prefix CIDR IP addresses and thus can be used in high-speed IP lookups [10, 11]. Also because it has ‘do not care’ states, one input may match multiple TCAM entries. In this paper, we assume the use of the widely-adopted *first-match TCAM*, which gives out the lowest index match of the input string if there are multiple matches as shown in Figure 2.

To solve the multi-match classification problem with TCAM, there are two challenges to be tackled: rule ordering and negation representation.

### Challenge 1: Arrange rules in TCAM compatible order.

Currently, the commercially available TCAM reports only the first matching result if there are multiple matches. This type of TCAM cannot directly report multi-match result. If we can change the TCAM hardware and let it return a bit vector of all matching results, one bit per entry, it still doesn’t solve the problem. This is because we still need to process the bit vector and extract the matching result, the complexity is still  $O(n)$ . In the remainder of the paper, we use a first match TCAM.

Rules can have different relationships such as subset, intersection, and superset. These relationships can cause problems for the matching results given a first-match TCAM. For example, suppose we have the following two rules:

- (a) “*Tcp \$SQL\_SERVER 1433 → \$EXTERNAL\_NET any*”
- (b) “*Tcp Any Any → Any 139*”

If we put rule (a) before rule (b) in the TCAM, a packet matching both rules will report a match of (a) and never report (b), and vice versa. This is because rule (a) and (b) have an intersection relationship. Hence, we need an algorithm to add additional rules into the rule sets and order the rules in a specific way to avoid the above problem. We call such an ordering a “*TCAM compatible order*”, which means: when a packet is compared with rules according to this order, we can retrieve all matching results solely based on the first matched rule. There should be no need to check the successive rules.

### Challenge 2: Representing Negation with TCAM

The negation (!) operation is common in rule sets. For example, if we wish to find packets that are not destined to TCP port 80, we will use a rule “*tcp any any → any !80*”. The 16-bit binary form of 80 is 0000 0000 0101 0000. There is no direct way to map the negation into one TCAM entry. If we directly flip every bit over, 1111 1111 1010 1111 stands for 65375, which is only a subset of !80. To represent the whole range of !80, we need 16 TCAM entries as shown in Figure 3. The basic approach flips one bit in one of the 16

binary positions and puts ‘do not care’ to all the others.

Ixxx xxxx xxxx xxxx
xIxx xxxx xxxx xxxx
xxIx xxxx xxxx xxxx
xxxI xxxx xxxx xxxx
xxxx Ixxx xxxx xxxx
xxxx xIxx xxxx xxxx
xxxx xxIx xxxx xxxx
xxxx xxxI xxxx xxxx
xxxx xxxx 0xxx xxxx
xxxx xxxx xIxx xxxx
xxxx xxxx xx0x xxxx
xxxx xxxx xxxI xxxx
xxxx xxxx xxxx Ixxx
xxxx xxxx xxxx xIxx
xxxx xxxx xxxx xxIx
xxxx xxxx xxxx xxxI

Fig. 3. Binary representation of !80 in TCAM

In addition to port negation, some rules require subnet addresses to be negated. For example, \$EXTERNAL\_NET frequently appears in rule sets, where \$EXTERNAL\_NET = !\$HOME\_NET. To represent this in TCAM directly, we need to flip every bit in the prefix of \$HOME\_NET and put ‘do not care’ to the other positions. Because IP subnet addresses are 32 bits, each negated address costs up to 32 TCAM entries. Moreover, there could be several negations in one rule. For example, the rule “tcp \$EXTERNAL\_NET any → \$EXTERNAL\_NET !80” requires up to a total of 32\*32\*16=16384 TCAM entries for this single rule! This is obviously not an acceptable approach since TCAMs have a much smaller capacity than SRAMs (e.g., 2MB with current technology).

The next two sections describe our approach to addressing these technical challenges.

### III. CREATE RULE SETS IN TCAM COMPATIBLE ORDER

To obtain multi-match results in one lookup with a first-match TCAM, we need to identify intersections between rules. Studies in [4, 12] show that the number of intersections between real-world rules is significantly smaller than the theoretical upper bound because each field has a limited number of values (e.g., all known port numbers) instead of unconstrained random values. So maintaining all the intersection rules is feasible. Indices of the rules used to generate the intersection are stored in a list. We call this a “Match List” and store the list in SRAM. Given a packet, we first perform a TCAM lookup and then use the matching index to retrieve all matching results with a secondary SRAM lookup as shown in Figure 2. The extended rules plus the original rules form an *extended rule set*. Throughout the remainder of this paper, a “rule” refers to a member of the extended rule set, unless otherwise specified as a member of

the original rule set. The items in the match list are the indices of rules in the original rule set.

As defined in Section 2, the TCAM compatible order requires rules to be ordered so that the first match should record all the matching results in the match list. We first enumerate the relationships between any two different rules  $E_i$  and  $E_j$ , with match list  $M_i$  and  $M_j$ . There are four cases: exclusive, subset, superset, and intersection, each with following corresponding requirements:

1. Exclusive ( $E_i \cap E_j = \phi$ ): then  $i$  and  $j$  can have any order.
2. Subset ( $E_i \subseteq E_j$ ): then  $i < j$  and  $M_j \subseteq M_i$ .
3. Superset ( $E_j \subseteq E_i$ ): then  $j < i$  and  $M_i \subseteq M_j$ .
4. Intersection ( $E_i \cap E_j \neq \phi$ ): then there is a rule  $E_l = (E_i \cap E_j)$  ( $l < i, l < j$ ), and  $(M_i \cup M_j) \subseteq M_l$ .

Case 1 is trivial: if  $E_i$  and  $E_j$  are disjoint, they can be in any order since every packet matching  $E_i$  never matches  $E_j$ . For Case 2 where  $E_i$  is a subset of  $E_j$ , every packet matching  $E_i$  will match  $E_j$  as well, so  $E_i$  should be put before  $E_j$  and match list  $M_i$  should include  $M_j$ . In this way, packets first matching  $E_i$  will not miss matching  $E_j$ . Similar operations are required for Case 3. Besides these three cases, partially overlapping rules lead to Case 4. In this case, we need a new rule  $E_l$  recording the intersection of these two rules ( $E_i \cap E_j$ ) placed before both  $E_i$  and  $E_j$  with both match results included in its match list ( $M_i \cup M_j \subseteq M_l$ ). Note that the intersection of  $E_i$  and  $E_j$  may be further divided into smaller regions by other rules (e.g.,  $E_k$  in Figure 4). In this case, all the smaller regions ( $E_i \cap E_j$  and  $E_i \cap E_j \cap E_k$ ) have to be presented before both  $E_i$  and  $E_j$ . This can actually be deduced by requirement (4).

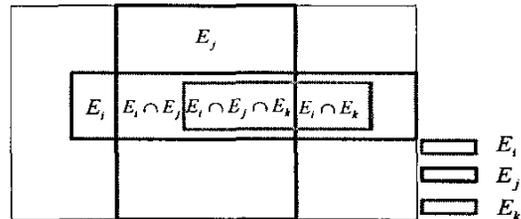


Fig. 4. An example of intersections of three rules.

Cases 1 to 4 cover all the possible relationships between any two rules. By applying the corresponding operations talked above, we can meet the requirements and get a TCAM compatible order.

Figure 5 is the pseudo-code for creating a TCAM compatible order. The algorithm takes the original rule set  $R = \{R_1, R_2, \dots, R_n\}$  as the input. Each rule  $R_i$  is associated with a match list, which is an index of itself ( $\{i\}$ ). The algorithm outputs an extended rule set  $E$  in TCAM compatible order. The algorithm inserts one rule at a time into the extended rule set  $E$ , which is initially empty (the empty set obviously follows the requirements of TCAM compatible order). Next, we show that after each insertion,  $E$  still meets the requirements.  $Insert(x, E)$  is the routine to insert rule  $x$  into  $E$ .

It scans every rule  $E_i$  in  $E$  and checks the relationship between  $E_i$  and  $x$ . If they are exclusive, then we bypass  $E_i$ . If  $E_i$  is a subset of  $x$ , we just add match list  $M_x$  to  $M_i$  and proceed to the next rule. If  $E_i$  is a superset of  $x$ , we add  $x$  before  $E_i$  according to requirement (3) and ignore all the rules after  $E_i$  (see the proof in the appendix). Otherwise, if they intersect, then according to requirement (4), a new rule  $E_i \cap x$  is inserted before  $E_i$  if it is not already been added. The match list for the new rule is  $M_x \cup M_i$ . As you can see, we strictly follow the four requirements when adding every new rule, so the generated extended rule set  $E$  is in TCAM compatible order. Due to space limitations, we do not present the details of the deletion algorithm.

```

extend_rule_set(R){
    E =  $\phi$ ;
    for all the rule  $R_i$  in R
        E = insert( $R_i$ , E);
    return E;
}
insert(x, E){
    for all the rule  $E_i$  in E {
        switch the relationship between  $E_i$  and x:
        case exclusive:
            continue;
        case subset:
             $M_i = M_x \cup M_i$ ;
            continue;
        case superset:
             $M_x = M_x \cup M_i$ ;
            add x before  $E_i$ ;
            return E;
        case intersection:
            if ( $E_i \cap x \notin E$  and  $M_x \not\subset M_i$ )
                add  $t = E_i \cap x$  before  $E_i$ ;
                 $M_t = M_x \cup M_i$ ;
            }
        add x at the end of E and return E;
    }
}

```

Fig. 5. Code for generating TCAM compatible order.

Original Rule Set	
1	Tcp \$SQL_SERVER 1433 → \$EXTERNAL_NET any
2	Tcp \$EXTERNAL_NET 119 → \$HOME_NET Any
3	Tcp Any Any → Any 139

Table 1. Example of original rule set with 3 rules.

Extended Rule Set	Match List
Tcp \$SQL_SERVER 1443 → \$EXTERNAL_NET 139	1,3
Tcp \$SQL_SERVER 1433 → \$EXTERNAL_NET any	1
Tcp \$EXTERNAL_NET 119 → \$HOME_NET 139	2,3
Tcp \$EXTERNAL_NET 119 → \$HOME_NET any	2
Tcp any any → any 139	3

Table 2. Extended rule set in TCAM compatible order.

To illustrate the algorithm, let's look at the following example in Table 1 which contains three rules. To generate extended rule set  $E$ , first we insert rule 1. Rule 2 does not

intersect with rule 1 so it can be added directly. Now, we have rule 1 followed by rule 2. When inserting rule 3, we find that it intersects with both rule 1 and rule 2, so we add two intersection rules with match list {1, 3} and {2, 3} and put rule 3 at the bottom of the TCAM. The final extended rule set  $E$  is presented in Table 2.

#### IV. NEGATION REMOVING

The scheme presented in Section 3 can be used to generate a set of rules in TCAM compatible order. In this section, we describe how to insert them into TCAM. As explained before, each cell in the TCAM can take one of three states: 0, 1 or 'do not care'. Hence, each rule needs to be represented by these three states.

Usually, a rule contains IP addresses, port information, protocol type, etc. IP addresses in the CIDR form can be represented in the TCAM using the 'do not care' state. However, the port number may be selected from an arbitrary range. Liu [11] has proposed a scheme to efficiently solve port range problem. However, we don't use it here because it requires two additional memory lookup and SNORT rule set doesn't contain a huge number of ranges. We just directly map range into TCAM using multiple entries, e.g., port 2-5 is represented as 01\* and 10\*. A more complicated problem for the TCAM is that some IP and port information is in a negation form. As explained in Section 2, each negation consumes many TCAM entries, so in this section, our goal is to remove negation from the rule set to save TCAM space.

Before we present our scheme, let us first look at the combinations of source and destination IP address spaces as shown in Figure 6. Use the rule set in Table 1 as an example, rule 3 applies to all 4 regions since it is "any" source to "any" destination; rule 1 applies to region D because we assume \$SQL\_SERVER is inside \$HOME\_NET; and rule 2 applies to region A. The regions that contain negation (\$EXTERNAL\_NET) are region A (\$EXTERNAL\_NET to \$HOME\_NET), D (\$HOME\_NET to \$EXTERNAL\_NET), and B (\$EXTERNAL\_NET to \$EXTERNAL\_NET).

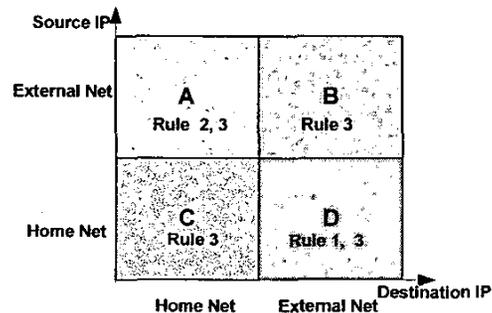


Fig. 6. Source and destination IP addresses space.

Consider region A as an example: the rules in this region are in the form of " $* \$EXTERNAL\_NET * \rightarrow \$HOME\_NET *$ ". Note that \* means it could be anything (e.g. "tcp" or "any" or a specific value).  $\$HOME\_NET^+$  stands for

\$HOME\_NET and any subset of it such as \$SQL\_SERVER. If we can extend rules in region A to region A and C, we can replace \$EXTERNAL\_NET with keyword “any” and now rules are in the format of “\* any \* → \$HOME\_NET \*”. However, after extending the region, we change the semantics of the rule and this may affect packets in region C. In other words, a packet in the format of “\* \$HOME\_NET \* → \$HOME\_NET \*” will report a match of this rule as well.

This problem, however, is solvable because TCAM only reports the first matching result. With this property, we can first extract all the rules applying to region C and put those at the top of TCAM. Next, we add a separator rule between region C and region A: “any \$HOME\_NET any → \$HOME\_NET any” with an empty action list. In this way, all the packets in region C will be matched first and thus ignore all the rules afterwards. With this separator rule, we can now extend all the rules in region A to region A and C. Similarly, rules in region D can be extended to region C and D, rules in region B can be extended to region A, B, C, and D. Therefore, we will put all the rules in the following order:

Rules in region C: “\* \$HOME\_NET \* → \$HOME\_NET \*”

Separator rule 1: “any \$HOME\_NET any → \$HOME\_NET any”

Rules in region D, specified in the form of region C and D: “\* \$HOME\_NET \* → any \*”

Rules in region A, specified in the form of region A and C:

“\* any \* → \$HOME\_NET \*”

Separator rule 2: “any \$HOME\_NET any → any any”

Separator rule 3: “any any any → \$HOME\_NET any”

Rules in region B, specified in the form of region A, B, C and D: “\* any \* → any \*”

Putting extended rule sets in this order can be simply achieved by first adding all three separator rules to the beginning of the original rule set, then following the algorithm in Section 2. If a rule applies to region A, it will automatically intersect with the separator 1, and generate a new rule in region C. If a rule applies in region B, it will intersect with all three separators and create three intersection rules. After that, we can replace all the \$EXTERNAL\_NET references with the keyword “any”.

TCAM Index	TCAM entries	Match list
1	tcp \$HOME_NET any → \$HOME_NET 139	3
2	any \$HOME_NET any → \$HOME_NET any	
3	tcp \$SQL_SERVER 1433 → any 139	3, 1
4	tcp \$SQL_SERVER 1433 → any any	1
5	tcp any 119 → \$HOME_NET 139	2,3
6	tcp any 119 → \$HOME_NET any	2
7	tcp any any → any 139	3

Table 3. Extended rule set in a TCAM with no negation.

Table 3 shows the result of mapping the rule set of Table 1 into TCAM. The first rule in region C is extracted from rule 3

that applies to all four regions. The second rule is a separator rule. With these two rules, we can replace the \$EXTERNAL\_NET in rules 3-6 with keyword “any”. At the end, there is rule 7 which applies to all the regions. Separator rules 2 and 3 are omitted because no rule is in the form of \$EXTERNAL\_NET to \$EXTERNAL\_NET in the original rule set. In this example, by adding only two rules, we can completely remove the \$EXTERNAL\_NET. Compared this to the solution in Table 2, which needs up to  $4 \times 32 + 1 = 129$  TCAM entries, this is 94.5% space saving!

The above example is a special case because there is only one type of negation (\$EXTERNAL\_NET) in one field. In a more general case, there can be more than one negation in each field. For example, there could be both !80 and !90 or !subnet1 and !subnet2 in the same field. Our scheme can be easily extended. If there are  $k$  unique negations in one field and their non-negation forms do not intersect (e.g., 80 and 90), then we need  $k$  separators of the non-negation form (80, 90) and they can be in any order. If they intersect, we need up to  $2^k - 1$  separation rules for this field. For instance, suppose there are !subnet1 and !subnet2. There should be three separation rules applying to  $\text{subnet1} \cap \text{subnet2}$ , subnet2, and subnet1.  $k$  is usually a very small number because it is limited by the number of peered subnets. In general, if each field  $i$  needs  $k_i$  separators, then at most  $(\prod (k_i + 1)) - 1$  separator rules should be added. In our previous example of removing \$EXTERNAL\_NET from source and destination IP addresses,  $k_1 = k_2 = 1$ , so we need a total of  $2 \times 2 - 1 = 3$  separator rules.

## V. SIMULATION RESULTS

To test the effectiveness of our algorithm, we use the SNORT [1] rule set. The SNORT rule set has undergone significant changes since 1999. We tested all the publicly available versions after 2.0. Although each rule set has around 1700-2000 rules, many of the rules share a common rule header. As illustrated in Table 4, unique rule headers in each version are relatively stable. Note that we omitted the versions that share the same rule headers with the previous version.

Version	Release Date	Rule Set Size	Rules added	Rules deleted
2.0.0	4/14/2003	240	-	-
2.0.1	7/22/2003	255	21	6
2.1.0	12/18/2003	257	3	1
2.1.1	2/25/2004	263	6	0

Table 4. SNORT rule headers statistics.

Our task is to put these rule headers into TCAM as classification rules, and store the corresponding matching rule indices in the match list. Hence, given an incoming packet, with one TCAM lookup and another SRAM lookup, we can implement multi-match packet classification.

The second column in Table 5 records the size of extended rule set in TCAM compatible order. It is roughly 15 times the original rule set, which is well below the theoretical upper bound. This agrees with the findings in [4, 7, 8].

Version	# of rules in extended set	Single negation	Double negations	Triple negations
2.0.0	3,693	62.334%	0.975%	0
2.0.1	4,009	62.484%	1.422%	0.025%
2.1.0	4,015	62.540%	1.420%	0.025%
2.1.1	4,330	62.332%	1.363%	0.023%

Table 5. Statistics of extended rules set.

Snort version	With Negation		Negation Removed		TCAM space saved
	Extended rule set size	TCAM entries needed	Extended rule set size	TCAM entries needed	
2.0.0	3,693	120,409	4,101	7,853	93.4%
2.0.1	4,009	145,208	4,411	8,124	94.4%
2.1.0	4,015	145,352	4,420	8,133	94.4%
2.1.1	4,330	151,923	4,797	8,649	94.3%

Table 6. Performance of negation removing scheme.

The number of negations in the extended rule set is significant. As shown in Table 5, on average 62.4% of the rules have one negation, 1.295% of the rules have two negations and there are a very small number of rules with three negations. In our simulation, we assume the home network is a class C address with a 24 bit prefix, so each \$EXTERNAL\_NET needs 24 TCAM entries. Negation of a port, e.g., !80, !21:23 consumes 16 TCAM entries. Under this setting, a single negation takes up to 24 TCAM entries; a double negation consumes up to  $24 \times 24 = 576$  TCAM entries; and a triple negation requires up to  $24 \times 24 \times 16 = 9216$  TCAM entries. Hence, if we directly put all the rules with negation into the TCAM, it takes up to 151,923 TCAM entries as shown in the third column of Table 6.

Our negation removing scheme presented in Section 3 significantly saves TCAM space. For the SNORT rule header set, we added  $2^3 \times 2^2 - 1 = 23$  separation rules in front of the original rule set because there are four types of negations: \$EXTERNAL\_NET at source IP, \$EXTERNAL\_NET at destination IP, !21:23 and !80 at source port, and !80 at destination port. It only adds about 10% extra rules in the extended rule set (4<sup>th</sup> column of Table 6). However, with these 10% more rules, we reduce the number of TCAM entries by over 93%.

Note that this total number is larger than the extended rule set size. This is because some rules contain port ranges that consume extra TCAM entries. The range mapping approach in [11] is not used because this approach requires two additional memory lookups for key translations, which reduces classification speed. If a lower speed is acceptable, then we can also incorporate the range mapping technique. In this case, the total TCAM entries needed is just the size of extended rule set after removing negations.

Each rule is 104 bits (8 bits protocol id, 2 ports with 16 bits each, 2 IP addresses with 32 bits each), which can be rounded up to use a 128 bits entry TCAM. The total TCAM space needed for SNORT rule header set is  $128 \times 8649 = 135\text{KB}$ .

To study the effect of negation, we randomly vary the negation percentages in the original rule set. In the SNORT original rule header sets, 89.7% of rules contain single negation and 1.1% of the rules contain double negation. So, we first consider single negation. Figure 7 shows the TCAM space needed both with and without our negation removing scheme. When the percentage of negation is very low, the two schemes perform similarly. If we study closely, when the negation percentage is very small (<2%), putting negation directly is better than our scheme since we introduce extra separation rules that may intersect with other rules. However, as the percentage of negation is higher, the TCAM space needed for the “with negation” case grows very fast. In contrast, the curve of our scheme remains flat and thus can save a significant TCAM space. For example, when 98% of the rules involve negation, our scheme saves 95.2% of the TCAM space compared to the “with negation” case. This is only for the single negation case. For double negations, or triple negations, the saving would be even higher since each double/triple negation rule requires many more TCAM entries.

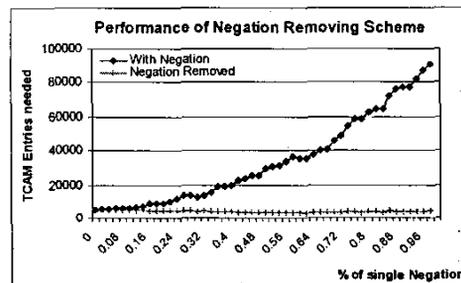


Fig. 7. Negation removing scheme.

## VI. RELATED WORK

As far as we know, we are the first to study the multi-match classification problem. The most relevant work is the filter conflict study by Hari et al. [12]. They showed that even for single-match classification problem, classification rules can intersect and thus introduce conflict. There are cases where commonly used conflict resolution schemes based on filter ordering do not work. They proposed to solve the problem by adding new filters in a manner similar to our approach.

There have been extensive studies of the single-match classification problem and some of them can be extended to report multi-match results. For example, Grid of Tries [9] is proposed to solve the two dimension (source and destination IP addresses) classification problem. Their algorithm can be extended for multiple fields with caching techniques. Other heuristic algorithms like Recursive Flow Classification (RFC) [7], HiCuts and HyperCuts [6, 8] work well for real world rule sets for single-match classification. However, these heuristic algorithms require several memory lookups and do not provide a deterministic lookup time. So, they are not well matched to the multi-match classification due to the tight time requirements for subsequent processing.

Most recently, TCAM is used in high end routers for single-match packet classification. Since TCAM is smaller and more expensive than SRAM, different approaches are proposed to save TCAM space or reduce TCAM power consumption. For example, Liu [11] proposed an algorithm for mapping range values into TCAM. CoolCAMs [13] partitioned TCAM so that for a given packet, they searched only several partitions to achieve lower power consumption. Spitznagel et al. [10] extended this idea and organized the TCAM as a two level hierarchy in which an index block was used to enable/disable the querying of the main blocks. In addition, they also incorporated circuits for range comparisons within the TCAM memory array. Our work focuses on multi-match problem and negation removing.

## VII. CONCLUSIONS

In this paper, we use a TCAM-based solution to solve the multi-match classification problem. The solution reports all the matching results with a single TCAM lookup and a SRAM lookup. In addition, we propose a scheme to remove negation in the rule sets, thus saving 93% to 95% of the TCAM space over a straightforward implementation. From our simulation results, the SNORT rule header set can easily fit into a small TCAM of size 135KB, and is able to retrieve all matching results within two memory accesses. We believe a TCAM-based approach is viable, as TCAM is now becoming a common extension to network processors. Although TCAM is more expensive and has higher power consumption than standard memory such as DRAM and SRAM, the capability and speed it offers still make it an attractive approach in high speed networks.

## APPENDIX

Claim in Section 3: If  $E_i$  is the first superset of  $x$  ( $x \subset E_i$ ) in  $E$ , we can add  $x$  before  $E_i$  according to requirement (3) and bypass all the rules after  $E_i$ .

Proof: For any rule  $E_j$  after  $E_i$ , there could be four cases. We will study it one by one and show why we can bypass all of them.

First, we can bypass any rule  $E_j$  that is disjoint with  $x$ , according to requirement (1).

Second, it is impossible that  $E_j \subset x$ . If so,  $E_j \subset x \subset E_i$ , which contradicts with requirement (2).

Third, if  $x \subset E_j$ ,  $E_j$  must also be a superset of  $E_i$ . Otherwise, the intersection of  $E_j$  and  $E_i$  must be a superset of  $x$  as well and it must be presented before  $E_i$ , according to requirement (4). This contradicts with the assumption that  $E_i$  is the first superset of  $x$  in  $E$ . Therefore,  $E_i \subset E_j$  and we have  $M_j \subset M_i$  according to requirement (2). In this case, we don't need to process  $E_j$  since we can extract all the information from  $M_i$ .

Fourth case, if  $E_j$  intersects with  $x$  and suppose  $z = E_j \cap x$ , then  $z$  must have appeared before  $E_i$ . This is because  $E_j$  must intersect with  $E_i$  as well since  $E_i$  is a superset of  $x$ . Let  $E_k =$

$E_i \cap E_j$ , according to requirement (4),  $k < i$ . In addition,  $z = E_j \cap x = E_j \cap x \cap E_i = E_k \cap x$ , because  $x \subset E_i$ . Therefore, we must have generated  $z$  when processing  $E_k$  which is before  $E_i$ . This meets the requirement (4), so we can bypass  $E_j$ .

Hence, all the rules after  $E_i$  are either exclusive to  $x$ , or their intersections have already been included, so we can skip all those rules.

## ACKNOWLEDGEMENTS

Special thank to Dr. T.V. Lakshman from Lucent Bell labs for suggesting TCAM as a possible solution for the multi-match classification problem. Without his insightful discussion and timely feedback, this paper will not be possible. We would like to extend our gratitude to SNORT system developers for implementing the powerful tool and making it open source. We would also like to thank Li Yin, Mel Tsai, Matthew Caesar, Yanlei Diao, and Ananth Rao for proof reading. Finally, we want to thank anonymous reviewers for valuable comments and suggestions.

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